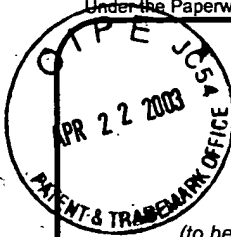


3742 #8

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/007,367
Filing Date	10/22/2001
First Named Inventor	Daniel Hoffman, et al.
Group Art Unit	3742
Examiner Name	Unknown
Attorney Docket Number	4097 P01

Total Number of Pages in This Submission

4

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Robert M. Wallace  
Reg. No. 29,119

Signature

*Robert M. Wallace*

Date

04/16/2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

April 16, 2003

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Date

04/16/2003

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PATENT

Attorney Docket No. 4097 P01

RW Ref. No. APM/063-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Daniel HOFFMAN, et al.

Entitled: MERIE PLASMA REACTOR WITH  
OVERHEAD RF ELECTRODE TUNED TO THE  
PLASMA WITH ARCING SUPPRESSION

Serial No.: 10/007,367

Filing Date: October 22, 2001

)  
)  
) Group Art Unit: 3742

)  
) Examiner: Unknown  
)  
)  
)

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INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF  
RECEIVING A FOREIGN OFFICE COMMUNICATION (37 CFR 1.97(e))

Commissioner for Patents & Trademarks  
Washington, D.C. 20231

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication (a copy of which is attached) from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56 and the Manual of Patent Examining Procedures Section 707.5(b). If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each document is enclosed. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Respectfully submitted,

*Robert M. Wallace*

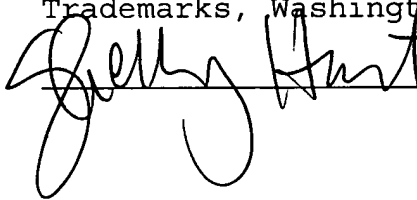
Dated: April 16, 2003

Robert M. Wallace  
Registration No. 29,119  
Attorney for Applicants

Robert M. Wallace, Patent Counsel  
2112 Eastman Avenue, Suite 102  
Ventura, CA 93003  
(805) 644-4035

**CERTIFICATE OF MAILING**

I hereby certify that the attached correspondence is being placed in First Class Mail addressed to the Commissioner for Patents & Trademarks, Washington, D.C. 20231 as of today



Dated: April 16, 2003

**INFORMATION  
DISCLOSURE  
CITATION FORM FOR  
PATENT APPLICATION  
(FORM PTO - 1449)**

Docket No.: 4097 P01      Serial No.: 10/007,367

Applicant(s): Daniel Hoffman, et al.

Filing Date: 10/22/01      Group: 3742

**U. S. PATENTS**

Initials	Patent No	Issue Date	Name	Class	Subclass	Filing Date
	5,312,778	05-17-94	COLLINS ET AL.	437	225	11-23-90

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APR 28 2003  
TECHNOLOGY CENTER R3700

**FOREIGN PATENT DOCUMENTS**

Initials	Document Number	Date	Country	Name	Translation? (Yes/No/n/a)
	WO 01/71765 A2	27.09.2001	WIPO	DANIEL HOFFMAN, ET AL.	N/A

Initials	Other Documents (Title, Author, Date, Pages, Etc., if known)

Examiner's Signature:

Date Considered:

Initial if reference was considered, whether or not citation with MPEP. Mark through citation if not considered. Include a copy of this citation form with your next correspondence to the Applicant(s).